

03-29-01

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

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<p align="center">UTILITY PATENT APPLICATION TRANSMITTAL</p> <p align="center">(Only for new nonprovisional applications under 37 CFR 1.53(b))</p>	<p>Attorney Docket No.: UTD-00-17</p> <p>First Named Inventor: Kanakasabapathy <i>et al.</i></p> <p>Title: Ion-Ion Plasma Processing with Bias Modulation Synchronized to Time-Modulated Discharges</p> <p>Express Mail Label No. <u>EL68611790145 (3/28/01)</u></p>
<p align="center">APPLICATION ELEMENTS</p> <p align="center">See MPEP Chapter 600 concerning utility patent application contents</p>	<p>ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p>
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total pages <u>1734</u> (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35USC d113) Total pages <u>14</u></p> <p>4. Oath of Declaration Total pages <u>2</u></p> <p>a. <input checked="" type="checkbox"/> Newly Executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> Deletion of inventor(s) (Signed statement attached deleting inventor(s) named in the prior application, see 37, C.F.R. 1.63(d)(2) and 1.33(b).)</p>	<p>5. <input checked="" type="checkbox"/> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & Documents(s))</p> <p>9. <input type="checkbox"/> 37 CFR §3.73(b) Statement (when there is an assignee)</p> <p><input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</p> <p><input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input checked="" type="checkbox"/> *Small Entity Statement(s) (PTO/SB/09-12) Statement filed in prior application - Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>16. <input type="checkbox"/> Other:</p> <p>* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</p>
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:</p> <p><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 60/228,705, filed 8/29/00.</p> <p>Prior application information: Examiner: Group / Art Unit:</p>	
<p>18. CORRESPONDENCE ADDRESS: Name: Groover & Associates p.c. Address: 17000 Preston Road, Suite 230, Dallas, Texas 75248 (Dallas County) Phone: (972) 380-6333, fax (972) 380-4445</p>	
<p>Signature <u>Robert Groover</u> Robert Groover, Reg.No.30,059, Date: March 26, 2001</p>	

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J1058 U.S. PTO

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J1002 U.S. PTO
09/820244

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<h1>Fee Transmittal Form</h1>	Complete if Known	
	Application Number:	
	Filing Date:	
	First Named Inventor: Kanakasabapathy <i>et al.</i>	
	Examiner Name:	
		Group/Art Unit:
TOTAL AMOUNT OF PAYMENT		\$ 672
		Attorney Docket No. UTD-00-17

Method of Payment		Fee Calculation (continued)																							
1. The Commissioner is hereby authorized to charge to the following Deposit Account, Deposit Account Number <u>07-2320</u> Deposit Account Name <u>Groover and Associates, p.c.</u> <input checked="" type="checkbox"/> Charge any additional fee required or credit any overpayment <input type="checkbox"/> charge all indicated fees and any additional fee required or credit any overpayment		3. Additional Fees: <table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Recordation of Assignment</td> <td>\$40</td> </tr> <tr> <td>Other fee (specify) _____</td> <td>\$ 40</td> </tr> <tr> <td>Subtotal (3)</td> <td>\$40</td> </tr> </tbody> </table>		Fee Description	Fee Paid	Recordation of Assignment	\$40	Other fee (specify) _____	\$ 40	Subtotal (3)	\$40														
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FEE CALCULATION																									
1. Basic Filing Fee <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility Filing Fee</td> <td>\$355</td> </tr> <tr> <td colspan="4"></td> <td>Subtotal (1)</td> <td>\$355</td> </tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	710	201	355	Utility Filing Fee	\$355					Subtotal (1)	\$355
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